

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Barclays Group US Inc. Political Action Committee

ADDRESS (number and street) ▼

2001 K Street NW 11th Floor

☐ Check if different than previously reported. (ACC)

Washington

DC

20006

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00448852

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☒

Convention (12C)

☐

Special (12S)

Election on

M M M /

D D D /

Y Y Y Y Y Y

in the  
State of

CT

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer John Trohan

Signature of Treasurer

John Trohan

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Barclays Group US Inc. Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y 04 / 01 / 2014 To: M M / D D / Y Y Y Y Y 04 / 24 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y</span> 2014		<span style="border: 1px solid black; padding: 2px;">175567.83</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">184221.41</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">3349.89</span>	<span style="border: 1px solid black; padding: 2px;">37285.09</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">187571.30</span>	<span style="border: 1px solid black; padding: 2px;">212852.92</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">17000.50</span>	<span style="border: 1px solid black; padding: 2px;">42282.12</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">170570.80</span>	<span style="border: 1px solid black; padding: 2px;">170570.80</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Barclays Group US Inc. Political Action Committee

Report Covering the Period:

From:

M M M	/	D D D	/	Y Y Y Y Y Y
04	/	01	/	2014

To:

M M M	/	D D D	/	Y Y Y Y Y Y
04	/	24	/	2014

**I. Receipts**
**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

3108.40

32000.25

(ii) Unitemized .....

241.49

5284.84

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

3349.89

37285.09

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ..... ▶

3349.89

37285.09

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

3349.89

37285.09

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ..... ▶

3349.89

37285.09

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	281.62
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	281.62
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	17000.00	42000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.50	0.50
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	17000.50	42282.12
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	17000.50	42282.12

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	3349.89	37285.09
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	3349.89	37285.09
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	0.00	281.62
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	0.00	281.62

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Barclays Group US Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Jon Bernstein**

Mailing Address 745 7th Avenue

City  
New YorkState  
NYZip Code  
10019FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764966

Amount of Each Receipt this Period

175.00

Full Name (Last, First, Middle Initial)

**B. Timothy Broadbent**

Mailing Address 745 7th Avenue

City  
New YorkState  
NYZip Code  
10019FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

687.52

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764968

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**C. Michael Burke**

Mailing Address 745 7th Avenue

City  
New YorkState  
NYZip Code  
10019FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

729.19

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764955

Amount of Each Receipt this Period

104.17

SUBTOTAL of Receipts This Page (optional)..... ►

383.34

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 7 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Leo T Clark**

Mailing Address 745 7th Ave.

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764940**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. William Davenport**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764963**

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**C. Crista DeStefano**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764952**

Amount of Each Receipt this Period

41.67

**SUBTOTAL** of Receipts This Page (optional)..... ►

133.34

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Barclays Group US Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Thomas Driscoll**

Mailing Address 745 7th Avenue

City

New York

State

NY

Zip Code

10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764964

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**B. Patrick J Durkin**

Mailing Address 745 7th Ave.

City

New York

State

NY

Zip Code

10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

729.19

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764939

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**C. Bill Gallagher**

Mailing Address 70 Hudson St 7th Fl

City

Jersey City

State

NJ

Zip Code

07302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Security Operations Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.02

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764974

Amount of Each Receipt this Period

41.67

SUBTOTAL of Receipts This Page (optional)..... ►

187.51

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Barclays Group US Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Peter Gambee**

Mailing Address 200 Park Ave

City  
New York

State Zip Code  
NY 10166

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Investment Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 10 / 2014

Transaction ID : A2014-764979

Amount of Each Receipt this Period

125.00

Full Name (Last, First, Middle Initial)

**B. Larry Hamdan**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764954

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**C. Mark Hanson**

Mailing Address 745 Seventh Ave.

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.85

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764975

Amount of Each Receipt this Period

104.17

SUBTOTAL of Receipts This Page (optional)..... ►

270.84

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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PAGE 10 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jonathan M Kitei**

Mailing Address 745 7th Ave. 2nd Flr.

City  
New York

State  
NY

Zip Code  
10024

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

729.19

Date of Receipt

04 / 15 / 2014

**Transaction ID : A2014-764949**

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**B. Joyce W Kwasnieski**

Mailing Address 125 S. West Street

City

Wilmington

State

DE

Zip Code

19801

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Application Developer Div. Lead

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

04 / 15 / 2014

**Transaction ID : A2014-764938**

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**C. Ros L'Esperance**

Mailing Address 745 7th Ave.

City

New York

State

NY

Zip Code

10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.31

Date of Receipt

04 / 15 / 2014

**Transaction ID : A2014-764947**

Amount of Each Receipt this Period

208.33

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

354.17

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David W Lohuis**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

875.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764967**

Amount of Each Receipt this Period

125.00

Full Name (Last, First, Middle Initial)

**B. Peter Luglio**

Mailing Address 190 S. LaSalle Street Suite 2600

City  
Chicago

State Zip Code  
IL 60603

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Managing Director Distribution

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

875.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764962**

Amount of Each Receipt this Period

125.00

Full Name (Last, First, Middle Initial)

**C. David MacNair**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764953**

Amount of Each Receipt this Period

41.67

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.67

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 12 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Scott W Macolino**

Mailing Address 3344 Peachtree Road Suite 950

City State Zip Code  
 Atlanta GA 30326

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 04 / 10 / 2014

**Transaction ID : A2014-764980**

Amount of Each Receipt this Period

166.67

Full Name (Last, First, Middle Initial)

## **B. Dean Maki**

Mailing Address 200 Park Avenue

City State Zip Code  
 New York NY 10166

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Dir./Chief US Econmst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

729.19

Date of Receipt

M M / D D / Y Y Y Y Y  
 04 / 15 / 2014

**Transaction ID : A2014-764934**

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

## **C. Thomas Marino**

Mailing Address 745 7th Ave.

City State Zip Code  
 New York NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Sr. Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.02

Date of Receipt

M M / D D / Y Y Y Y Y  
 04 / 15 / 2014

**Transaction ID : A2014-764950**

Amount of Each Receipt this Period

104.17

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

375.01

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 13 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Moore**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.31

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764959**

Amount of Each Receipt this Period

208.33

Full Name (Last, First, Middle Initial)

**B. Paul Morton**

Mailing Address 200 Park Avenue

City  
New York

State Zip Code  
NY 10166

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
MD-Financial Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

729.19

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764965**

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**C. Gregg Orrill**

Mailing Address 745 7th Ave

City  
New York

State Zip Code  
NY 10166

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.02

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764973**

Amount of Each Receipt this Period

41.67

**SUBTOTAL** of Receipts This Page (optional)..... ►

354.17

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 19  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Panagopoulos**

Mailing Address 745 7th Ave.

City State Zip Code  
New York NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764942**

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**B. Tod D. Perkins**

Mailing Address 745 7th Avenue

City State Zip Code  
New York NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.35

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764961**

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**C. Andrew Rankowitz**

Mailing Address 745 7th Ave.

City State Zip Code  
New York NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Barclays Group US Inc.

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.02

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764948**

Amount of Each Receipt this Period

104.17

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

250.01

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Barclays Group US Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Joseph Regan**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

729.19

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764969

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**B. Steven Rosen**

Mailing Address 745 7th Ave. 2nd Flr.

City  
New York

State Zip Code  
NY 10023

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

729.19

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764941

Amount of Each Receipt this Period

104.17

Full Name (Last, First, Middle Initial)

**C. Daniram Sudarsan**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

308.35

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

Transaction ID : A2014-764960

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

258.34

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 16 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Merritt A Thomas**

Mailing Address 745 7th Avenue

City  
New York

State Zip Code  
NY 10019

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764956**

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**B. Larry Wieseneck**

Mailing Address 200 Park Avenue

City  
New York

State Zip Code  
NY 10166

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Barclays Group US Inc.

Occupation  
Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.31

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 15 / 2014

**Transaction ID : A2014-764970**

Amount of Each Receipt this Period

208.33

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City

State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

250.00

**TOTAL** This Period (last page this line number only)..... ►

3108.40



# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 17 OF 19

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Citizens for Waters**

Mailing Address 3700 Wilshire Blvd. Ste.. 1050-b

City Los Angeles State CA Zip Code 90010

Purpose of Disbursement  
Contribution

Candidate Name

**Maxine Waters**

Office Sought: ☒ House  
☐ Senate  
☐ President

State: CA District: 43

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

011

Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y  
04 / 24 / 2014

**Transaction ID : B494640**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

## **B. Blumenthal for Connecticut**

Mailing Address 777 Summer Street

City Stamford State CT Zip Code 06901

Purpose of Disbursement  
Contribution

Candidate Name

**Richard Blumenthal**

Office Sought: ☐ House  
☒ Senate  
☐ President

State: CT District:

Disbursement For: 2016

☐ Primary ☐ General  
☒ Other (specify) ▼  
Convention

011

Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y  
04 / 07 / 2014

**Transaction ID : B493590**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

## **C. Himes for Congress**

Mailing Address 857 Post Road #312

City Fairfield State CT Zip Code 06824

Purpose of Disbursement  
Contribution

Candidate Name

**Jim Himes**

Office Sought: ☒ House  
☐ Senate  
☐ President

State: CT District: 04

Disbursement For: 2014

☐ Primary ☐ General  
☒ Other (specify) ▼  
Convention

011

Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y  
04 / 07 / 2014

**Transaction ID : B493593**

Amount of Each Disbursement this Period

1500.00

**SUBTOTAL** of Disbursements This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

3500.00

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 18 OF 19

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Patrick Murphy**

Mailing Address 4521 PGA Blvd. #412

City	State	Zip Code
Palm Beach Gardens	FL	33418

Purpose of Disbursement  
Contribution

011

Candidate Name

**Patrick Murphy**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: FL District: 18

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
04		24		2014

**Transaction ID : B494639**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Mike Crapo for US Senate**

Mailing Address P.O. Box 1948

City	State	Zip Code
Boise	ID	83701

Purpose of Disbursement  
Contribution

011

Candidate Name

**Michael D Crapo**Category/  
TypeOffice Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: ID District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
04		24		2014

**Transaction ID : B494638**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Hoyer for Congress**

Mailing Address 700 13th Street NW #600

City	State	Zip Code
Washington	DC	20005

Purpose of Disbursement  
Contribution

011

Candidate Name

**Steny H Hoyer**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: MD District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
04		07		2014

**Transaction ID : B493591**

Amount of Each Disbursement this Period

2500.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 19 OF 19

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Barclays Group US Inc. Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends for Harry Reid**

Mailing Address P.O. Box 19163

City Las Vegas	State NV	Zip Code 89132
-------------------	-------------	-------------------

Purpose of Disbursement  
Contribution

011

Candidate Name

**Harry Reid**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: NV District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
04		07		2014

**Transaction ID : B493592**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. Bob Corker For Senate 2018 Inc.**

Mailing Address 1015 Stonebridge Park Drive

City Franklin	State TN	Zip Code 37069
------------------	-------------	-------------------

Purpose of Disbursement  
Contribution

011

Candidate Name

**Robert (Bob) P Corker Jr.**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2018
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: TN District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
04		24		2014

**Transaction ID : B494637**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Cantor for Congress**

Mailing Address P.O. Box 17813

City Richmond	State VA	Zip Code 23226
------------------	-------------	-------------------

Purpose of Disbursement  
Contribution

011

Candidate Name

**Eric I Cantor**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: VA District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
04		07		2014

**Transaction ID : B493589**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7500.00
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17000.00
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